



04-04-01 A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY PATENT APPLICATION TRANSMITTAL**  
(For new non-provisional applications under 37 CFR § 1.53(b))

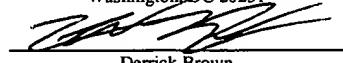
Atty. Dkt. No: 5500-54701	
Inventor(s): Kevin J. McGrath Michael T. Clark James B. Keller	
Title: Establishing an Operating Mode in a Processor	

**CERTIFICATE OF EXPRESS MAIL  
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL822011656US  
DATE OF DEPOSIT: April 2, 2001

I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. §1.10 on the date indicated  
above and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

  
Derrick Brown

**Application Elements**

1.  Filing Fee

The filing fee is calculated as shown below.

Total Claims	33	-20=	13	x \$18.00=	\$234.00
Independent Claims	2	-3 =	0	x \$80.00=	\$0.00
Multiple Dependent Claims				Fee:	0
				Basic Fee:	\$710.00
				Assignment Fee	\$40.00
				Sub-Total:	\$984.00
				Reduction by 50% for Small Entity:	0
				Total:	\$984.00

Two Fee Authorization Forms totaling \$984.00 is enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit  
any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5500-  
54701/LJM.

**One duplicate copy of this form is enclosed.**

2.  Specification

48 page(s) of specification; 5 page(s) of claims, 1 page(s) of abstract

3.  Drawings

Formal Figure(s) 1-21 on 18 sheet(s)

4.  Oath or Declaration

Newly executed

Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

Delete the following inventor(s) named in the prior non-provisional application:

The inventor(s) to be deleted are set forth on a signed sheet attached hereto.



5.  The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 Computer Readable copy  
 Paper Copy (identical to computer copy)  
 Statement verifying identity of above copies

8.  Assignment Papers

9. Power of Attorney  
 Is attached.  
 The power of attorney appears in the original papers of the prior application.  
 Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10.  Information Disclosure Statement (IDS)  
 Copies of IDS Citations

11. Amendments  
 A preliminary amendment is enclosed.  
 Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.  
 Amend the specification by inserting before the first line the sentence:\_\_\_\_\_.

12.  Return Receipt Postcard

13. Small Entity Status  
 A small entity statement is enclosed.  
 A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.  
 Is no longer claimed.

14.  Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)

15.  Petition under 37 C.F.R. § 136 for Extension of Time

16.  Other: Request and Certification

Address all future correspondence to:

Lawrence J. Merkel  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name

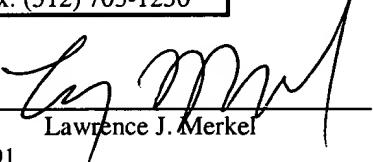
Registration No.

Date

41,191

April 2, 2001

Lawrence J. Merkel



10/20/4  
04/02/01  
JC903 U.S. PTO  
09/824890 PTO

PATENT  
(5500-54701/E0832CIP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/824890 PTO  
04/02/01  
JC903 U.S. PTO

Application No.: Unknown  
Filed: Concurrently herewith  
Inventor(s):  
Kevin J. McGrath  
Michael T. Clark  
James B. Keller

Title: Establishing an Operating  
Mode in a Processor

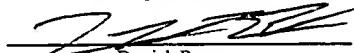
§ Examiner: Unknown  
§ Group/Art Unit: Unknown  
§ Atty. Dkt. No: 5500-54701  
§

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Washington, DC 20231

  
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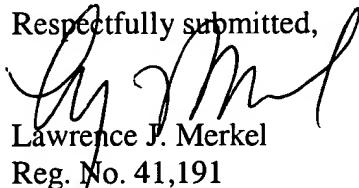
FEE AUTHORIZATION

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley,  
Rose & Tayon, P.C. Deposit Account Number 501505/5500-54701/LJM:

Fee: Filing Fee Amount: \$710.00 Attorney Docket No.: 5500-54701  
Fee: Excess Dep. Claims Amount: \$234.00 Attorney Docket No.: 5500-54701

The Commissioner is also authorized to charge any extension fee or other fees  
which may be necessary to the same account number. If the abovementioned account is  
found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose  
& Tayon, P.C. Deposit Account Number 501623/5500-54701/LJM.

Respectfully submitted,  
  
Lawrence J. Merkel  
Reg. No. 41,191

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400  
Date: April 2, 2001

PATENT  
(5500-54701/E0832CIP)

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Application No.: Unknown  
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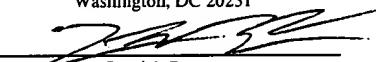
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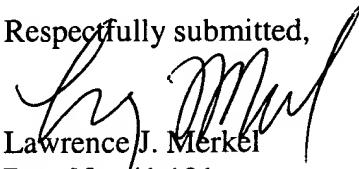
Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley,  
Rose & Tayon, P.C. Deposit Account Number 501505/5500-54701/LJM:

Fee: Assignment Fee Amount: \$40.00 Attorney Docket No.: 5500-54701

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